

Investment Products Settlement Instruction

投資產品交收指示

To: QMIS Securities Limited, Unit 2104, 21/F., Infinitus Plaza, 199 Des Voeux Road Central, Sheung Wan, Hong Kong.
 致: 華亞證券有限公司, 香港上環德輔道中 199 號無限極廣場 21 樓 2104 室

Name of the client(s)

客戶名稱

Name/Company name

姓名/公司名稱

Account number

帳戶號碼

Daytime tel. number

日間聯絡電話號碼

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(請於合適的方格內填上√ Please √ the appropriate box)

交收類別 Type of Transfer: 提取 Deliver to

存入 Receive to

對方參與者名稱: _____

對方參與者號碼: _____

Name of counterparty CCASS Participant/Bank/Broker

ID No. of counterparty CCASS participant/bank/broker

對方聯繫人: _____

對方聯繫人號碼: _____

Contact person

Contact number

| 股票號碼 / 產品號碼 Stock Code / Product Code | 股票名稱 / 產品名稱 Name of Stock / Investment products | 股數 / 面值 No of Shares / Nominal | 交收日期 Settlement Date | 費用 Fee (HKD) For Office Use Only |
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| 備註 Remarks: | | | Total Fee: | |
| 付款指示 Payment Instruction: <input type="checkbox"/> 毋需付款 Free of payment(FOP) <input type="checkbox"/> 貨銀對付 Delivery versus payment(DVP) 款項 Amount: _____ | | 交收指示目的 Purpose of SI: <input type="checkbox"/> C=Broker Custodian 經紀受託託管交收 <input type="checkbox"/> M=Portfolio Management 股票組合轉移 <input type="checkbox"/> I= Investor Account 投資者帳戶 | | |
| 備註 Remarks: | | | | |

茲授權貴公司依照本人/吾等作出以下經中央結算系統及其他交收系統的交收指示。本人/吾等聲明就上述有關證券之轉移對最終受益人或擁有人之任何權益不會產生任何變動。

This is to authorize your company to act in accordance with my/our following settlement instruction through CCASS/Euroclear/Clearstream, I/We declare that transfer of the above securities involve(s) no change in beneficial ownership.

請在本人/吾等之證券帳戶中扣除一切開支。

Please debit the captioned account for any costs or charges so incurred.

* 如欲當天辦理證券交收指示，此表格需於當日上午十一時前交付至結算部。

*This form for same day settle has to be reached our settlement department before 11:00am on the settlement day.

Signature of Account Holder 戶口持有人簽署

Signature of Joint Account Holder 聯名戶口持有人簽署

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| Day 日 | Month 月 | Year 年 | | | |

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| Day 日 | Month 月 | Year 年 | | | |

Hong Kong Customer Service Hotline 香港客戶服務專線

: (852) 3971 6988

Taiwan Direct Dial Hong Kong Toll Free Customer Service Hotline

: 00801 853 223 (Limited to Taiwan District 只限台灣地區使用)

台灣直撥香港免付費客戶服務專線

Hong Kong Fax No. 香港傳真號碼

: (852) 3971 6989

Taiwan to Hong Kong Toll Free Fax No. 台灣致香港免付費傳真號碼

: 00801 853 030 (Limited to Taiwan District 只限台灣地區使用)

E-mail 電郵

: sd@qmis.com.hk

For Official Use Only:-

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|--------------------|---------------------|---------------------|
| Verified by | Executed by: | Recorded by: |
|--------------------|---------------------|---------------------|