



## 客戶聲明書 - 申請環球股票交易使用權限

致: 華亞證券有限公司

香港上環德輔道中 199 號無限極廣場 21 樓 2104 室

請填妥此申請表格並電郵至客戶服務部: [cs@qmis.com.hk](mailto:cs@qmis.com.hk)。如有任何查詢, 請致電客戶服務熱線 (852) 3971 6988。

請選擇所需之服務, 於  內加上“✓”號 (一項或多項, 如適合):

本人/吾等確認以下聲明, 作為申請以下股票市場的交易服務, 明白這些聲明不構成申請成功。華亞證券有限公司("華亞證券")將根據戶口資料和相關法規作出最終和不可抗辯的決定和不時更改服務狀態, 而且不予通知和不會承擔任何形式的責任或賠償。

市場	客戶必須聲明其國籍、公民或稅務居民資格、或其他事項以符合相關市場的政府法規要求。	確認
澳洲	請為本人/吾等開啓澳洲股票交易服務, 本人/吾等沒有持有澳洲國籍、公民或稅務居民資格。	<input checked="" type="checkbox"/>
臺灣	請為本人/吾等開啓臺灣股票交易服務, 本人/吾等沒有持有中華民國(即臺灣)或中華人民共和國的國籍、公民或稅務居民資格, 或地址, 並且投資資金並不來自以上地方。	<input checked="" type="checkbox"/>

### 備註:

- 如客戶的國籍、公民或稅務居民資格, 或地址有所改變, 請在發生資料改變後 30 日內, 向華亞證券更新帳戶資料。
- 如客戶的國籍、公民或稅務居民資格改為以上地方, 華亞證券將會停止該市場的交易服務, 並不予通知。
- 如客戶作出誤導性、虛假或不正確的陳述, 以致觸犯以上地方的條例, 客戶有可能承擔相關的法律責任。
- 查詢服務狀態, 請致電客戶服務熱線 (852) 3971 6988 / 電郵: [cs@qmis.com.hk](mailto:cs@qmis.com.hk)。

戶口號碼:	7303888		
主要帳戶持有人名稱	Chan Tai Man	聯名帳戶第二持有人名稱:	
客戶簽署:	<i>Chan Tai Man</i>	客戶簽署:	
簽署日期	01 日 11 月 2020 年	簽署日期	日 月 年

### 只供本行使用:

系統更新/服務生效日期:	核對客戶簽署:	執行:	審批:
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更新日期: 20190619



## Client Declaration - Application for Foreign Stock Trading Access Right

To: QMIS Securities Limited

Unit 2104, 21/F, Infinitus Plaza, 199 Des Voeux Road Central, Sheung Wan, Hong Kong

Please complete the application form and email to Customer Service Department: [cs@qmis.com.hk](mailto:cs@qmis.com.hk). For enquiry, please contact our hotline at (852) 3971 6988.

Please put "✓" in  for the selected service (one or multiple, if applicable):

I/We confirm the following declaration(s), to apply for activating the trading service for particular securities markets, and understand that the declaration(s) does not constitute the success of the application. QMIS Securities Limited ("QMIS") makes a final and uncontestable decision and from time to time amends the service status based on account information and relevant rules and laws, without prior notice and is not liable for any liability or claim in any format.

Markets	Client must declare his/her nationality, citizenship, tax residency status or others in accordance to the laws of corresponding Government.	Declaration
Australia	Please activate the Australia Stock Trading Service for me/us. I/We do not hold Australian nationality / citizenship / tax residency status.	<input checked="" type="checkbox"/>
Taiwan	Please activate the Taiwan Stock Trading Service for me/us. I/We do not hold nationality / citizenship / tax residency status of or address in Republic of China (i.e. Taiwan) or People's Republic of China. In addition, my/our investment fund does not source from the places.	<input checked="" type="checkbox"/>

Notes:

- Client has to update account particular within 30 days after any change in nationality / citizenship / tax residency status/ address.
- QMIS will suspend the relevant trading service without prior notice when client changes nationality / citizenship / tax residency status to one of the places mentioned above.
- Client may bear the relevant legal responsibility if he/she makes or provide misleading, false or incorrect representation and information which violates the local laws and regulations.
- To check service status, please call Customer Service Hotline at (852) 3971 6988 or email : [cs@qmis.com.hk](mailto:cs@qmis.com.hk)

Account No:	7303888		
Primary Account Holder's Name:	Chan Tai Man	Secondary Joint Account Holder's Name:	
Client Signature:	<i>Chan Tai Man</i>	Client Signature:	
Date of Signature:	01 D 11 M 2020 Y	Date of Signature:	D M Y

**For Official Use Only:**

System Input/Effective Date:	Signature verified by:	Executed by:	Approved By:
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